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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/896,085	06/29/2001	David Thomas Neilson	8-4

CONFIRMATION NO. 9501

## FORMALITIES LETTER



\*OC000000006456881\*

Docket Administrator (Room 3J-219)  
Lucent Technologies Inc.  
101 Crawfords Corner Rd.  
Holmdel, NJ 07733-3030

Date Mailed: 08/22/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

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*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/22/2002 BNGUYEN1 00000062 122325 09896085

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Serial No. 09/896085

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

**Patent Application**

Inventor(s): **David Thomas Neilson  
Roland Ryf**

Case: **8-4**

Serial No.: **09/896085**

Filing Date: **June 29, 2001**

Examiner: Group Art Unit: **2874**

Title: **Imaging Technique For Use With Optical MEMS Devices**

**ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D. C. 20231**

**SIR:**

**Response to Notice to File Missing Parts of Non-Provisional Application**

Enclosed is the Declaration and Power of Attorney relating to the above-identified application.

Please charge Lucent Technologies **Deposit Account No. 12-2325** the amount of **\$130.00** to cover the filing fee surcharge. Duplicate copies of this letter are enclosed.

A copy of the Notice to File Missing Parts of Non-Provisional Application is also enclosed.

Respectfully,  
David Thomas Neilson  
Roland Ryf

By Eugene J. Rosenthal  
Eugene J. Rosenthal, Attorney  
Reg. No. 36658  
908-582-4323

Date: 12/7/01

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on Dec 7, 2001 (Date of Deposit)  
Sharon Robles 12/7/01  
Date